1056554-5642 3742 JC12 Rec'd PCT/PTC 29 SEP 2005

## **BARNES & THORNBURG, LLP**

P.O. Box 2786 Chicago, Illinois 60690-2786 (312) 357-1313

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art

Unit:

Application No.: national phase of PCT/US04/10458

Filing Date:

April 5, 2004

First Named

Inventor:

HART, et al.

Title:

FUNNEL BLOCKING APPARATUS AND

METHODS OF USE

Attorney

Docket No.:

27726-100554

Examiner Name:

Certificate Under 37 CFR 1.10(a)

I hereby certify that this correspondence is being transmitted to the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, Via Express Mail, Label No. EV 590001297 US, on the date shown below.

 $\mathcal{W}$ 

Grant H. Peters

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with Applicant's duty of candor under 37 C.F.R. § 1.56 and in compliance with 37 C.F.R. 1.97 (c) and (e), applicant submits the present Information Disclosure Statement. No representation is intended that a complete search has been made of the prior art or that no better publications than listed on Forms PTO/SB/08A and Forms PTO/SB/08B are available. Copies of cited U.S. patents are not provided herewith. The filing of this Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in § 1.56(b).

Attorney Dot 053/053/42

10/551542 JC12 Rec'd PCT/PTC 29 SEP 2005

Please charge any fees that might be due in connection with this Supplemental Information Disclosure Statement to our Deposit Account No. 12-0913 with reference to our attorney docket number (27726-100554).

Respectfully submitted,

**BARNES & THORNBURG LLP** 

Grant H. Peters Reg. No. 35,977

Barnes & Thornburg LLP P.O. Box 2786 Chicago, Illinois 60690-2786 (312) 357-1313

Dated: 9/36/05

CHDS01 GHP 297002v1

Attorney Docks 19542726180653742

JC12 Rec'd PCT/PTC 29 SEP 2005

FORM PTO/SB/08A U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Complete if Known	
Substitute for form 1449A/PTO		Application Number:	
INFORMA	ATION DISCLOSURE	Filing Date:	herewith
	ENT BY APPLICANT reral sheets if necessary)	First Named Inventor:	HART et al.
(030 50)	, <b>7</b> ,	Group Art Unit	
		Examiner Name	not yet assigned
Sheet	1 of2	Attorney Docket Number:	27726-100554

A			U.S. PATENT DOC	CUMENTS		
*Examiner Cite No.		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	t
	A.1	US 3,343,478	09/26/1967	Hausam		
	A.2	US 3,511,166	05/12/1970	Bixby, Jr.		
	A.3	US 5,000,082	03/19/1991	Lassota		
	A.4	US 5,111,740	05/12/1992	Klein		
	A.5	US 5,331,885	07/26/1994	Lassota		
	A.6					
	A.7					
	A.8					
	A.9					
	A.10					
	A.11					
	A.12					
	A.13					
	A.14					
		FOREIC	ON PATENT DOCU	JMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	A.15					
	A.16					—
	A.17					<u> </u>
	A.18					<del> </del>
	A.19					

EXAMINER /Reginald Alexander/ DATE CONSIDERED 11/04/2008
\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. Send to: Assistant Commissioner for Patents, Washington, D.C. 20231.

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if

Attorney Doctor \$405.42726 1205.53742

**10/**551542 **JC**12 Rec'd PCT/PTC 29 SEP 2005

FORM PTO/SB/08A	U.S. DEPARTMENT OF COMMERC	Complete if Known	
(REV. 10-96)	PATENT AND TRADEMARK OFFICE	Application Number:	
Substitute for form 1449A/PTO			1
INFORMATIO	ON DISCLOSURE	Filing Date:	herewith
		First Named Inventor:	HART et al.
_	several sheets if necessary)	Group Art Unit	
		Examiner Name	not yet assigned
Sheet2	of2	Attorney Docket Number:	27726-100554

A		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No. <sup>1</sup>	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	A 1	International Search Report for International Application Number PCT/IJS2004/10458, International Filing Date 05 April 2004. ISR completion
		date 01 September 2004, Date of Mailing 14 September 2004
	A.2	
	A.3	
	A.4	
	A.5	
	A.6	
	A.7	
	A.8	3.104.0000
EXAMINER	/F	Reginald Alexander/ DATE CONSIDERED 11/04/2008

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231. ssioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional).

<sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.